

Exhibit 300: Capital Asset Plan and Business Case Summary

Part I: Summary Information And Justification (All Capital Assets)

Section A: Overview (All Capital Assets)

1. Date of Submission: 2010-03-19 19:54:02

2. Agency: 025

3. Bureau: 00

4. Name of this Investment: RHA - 1767990 - (411990) - Rental Housing Assistance

5. Unique Project (Investment) Identifier: 025-00-01-03-01-1800-00

6. What kind of investment will this be in FY 2011?: Mixed Life Cycle

- Planning
- Full Acquisition
- Operations and Maintenance
- Mixed Life Cycle
- Multi-Agency Collaboration

7. What was the first budget year this investment was submitted to OMB? *

8. Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap; this description may include links to relevant information which should include relevant GAO reports, and links to relevant findings of independent audits.

The Rental Housing Assistance (RHA) mission is to provide the framework to enable the coordination of all aspects of funding and assessment to include budgeting, allocation, disbursement, verification, risk analysis, security reporting and quality assurance for partners and beneficiaries of HUD's single family and multifamily rental assistance programs providing over \$21 billion annually. RHA carries out its mission by coordinating funding and subsidy of public housing projects and providing single family vouchers through housing agencies. In FY 09 and FY 10, RHA systems will expand the knowledge base to reduce the time by at least 10% spent by business partners calling in to resolve program issues handling the issues as a first responder. Income verification will continue to be expanded to an enterprise application rather than being confined to only two of nine programs to further reduce fraud estimated at hundreds of millions of dollars annually. Of the 4200 Housing Agencies, more thorough reviews of the managerial and financial operations of 300 to 400 more housing agencies will be enabled by further system refinements. This increased oversight of housing agencies will enable preemptive actions by field personnel to be put in place in order to reduce defaults. In addition, RHA systems will continue to coordinate profile data, physical data and financial data to improve the accuracy of payments to HAs by an estimated 50%; thereby reducing subsidy error 25-50% and millions of dollars in over-payments. DME funding was used to provide maintenance to assist RHA transition to the new Oracle database environment, which is the HUD Enterprise Architecture. RHA will also encompass the initial efforts for the new Housing Choice Voucher (HCV) system to be developed that is designed to replace both the current Inventory Management System (IMS) and multifamily's TRAC's system. There is also funding under the Transformation Initiative funding to support the REAC Data Warehouse, Java, and Oracle Initiatives. This funding will be used toward the Data Warehouse project (the DART Dashboard), Java and Oracle conversion for all of the REAC Systems that are not already operating in Java/Oracle environments as directed by HUD Standards and the CIO.

- a. Provide here the date of any approved rebaselining within the past year, the date for the most recent (or planned) alternatives analysis for this investment, and whether this investment has a risk management plan and risk register.**

9. Did the Agency's Executive/Investment Committee approve this request? *

a. If "yes," what was the date of this approval? *

10. Contact information of Program/Project Manager?

- **Name:** *
- **Phone Number:** *
- **Email:** *

11. What project management qualifications does the Project Manager have? (per FAC-P/PM)? *

- Project manager has been validated according to FAC-PMPM or DAWIA criteria as qualified for this investment.
- Project manager qualifications according to FAC-P/PM or DAWIA criteria is under review for this investment.
- Project manager assigned to investment, but does not meet requirements according to FAC-P/OM or DAWIA criteria.
- Project manager assigned but qualification status review has not yet started.
- No project manager has yet been assigned to this investment.

12. If this investment is a financial management system, then please fill out the following as reported in the most recent financial systems inventory (FMSI):

Financial management system name(s)	System acronym	Unique Project Identifier (UPI) number
*	*	*

a. If this investment is a financial management system AND the investment is part of the core financial system then select the primary FFMIA compliance area that this investment addresses (choose only one): *

- computer system security requirement;
- internal control system requirement;
- core financial system requirement according to FSIO standards;
- Federal accounting standard;
- U.S. Government Standard General Ledger at the Transaction Level;
- this is a core financial system, but does not address a FFMIA compliance area;
- Not a core financial system; does not need to comply with FFMIA

Section B: Summary of Funding (Budget Authority for Capital Assets)

1.

Table 1: SUMMARY OF FUNDING FOR PROJECT PHASES (REPORTED IN MILLIONS) (Estimates for BY+1 and beyond are for planning purposes only and do not represent budget decisions)									
	PY1 and earlier	PY 2009	CY 2010	BY 2011	BY+1 2012	BY+2 2013	BY+3 2014	BY+4 and beyond	Total
Planning:	*	*	*	*	*	*	*	*	*
Acquisition:	*	*	*	*	*	*	*	*	*
Subtotal Planning & Acquisition:	*	*	*	*	*	*	*	*	*
Operations & Maintenance:	*	*	*	*	*	*	*	*	*
Disposition Costs (optional):	*	*	*	*	*	*	*	*	*
SUBTOTAL:	*	*	*	*	*	*	*	*	*
Government FTE Costs should not be included in the amounts provided above.									
Government FTE Costs	*	*	*	*	*	*	*	*	*
Number of FTE represented by Costs:	*	*	*	*	*	*	*	*	*
TOTAL(including FTE costs)	*	*	*	*	*	*	*	*	*

2. If the summary of funding has changed from the FY 2010 President's Budget request, briefly explain those changes:

*

Section C: Acquisition/Contract Strategy (All Capital Assets)

1.

Table 1: Contracts/Task Orders Table

Contract or Task Order Number	Type of Contract/Task Order (In accordance with FAR Part 16)	Has the contract been awarded (Y/N)	If so what is the date of the award? If not, what is the planned award date?	Start date of Contract/Task Order	End date of Contract/Task Order	Total Value of Contract/Task Order (M)	Is this an Interagency Acquisition? (Y/N)	Is it performance based? (Y/N)	Competitively awarded? (Y/N)	What, if any, alternative financing option is being used? (ESPC, UESC, EUL, N/A)	Is EVM in the contract? (Y/N)
HUDCCOPC22726	8a FEDSIM -- Time and Materials	Y	2006-07-01	2006-07-01	2011-03-25	\$18.9	*	*	*	*	*
HUDCCOPC23274	FFP/ Direct Award 8(A)	Y	2008-02-01	2008-02-01	2012-08-15	\$1.1	*	*	*	*	*
HUCCOPC23405	Fixed Price / T&M	Y	2009-04-01	2009-04-01	2011-03-31	\$3.0	*	*	*	*	*
HUDCCOPC23279	Fixed Price	Y	2010-02-01	2010-02-01	2011-01-31	\$1.7	*	*	*	*	*
HUDCCOPC23400	Time & Materials	Y	2009-09-30	2009-10-01	2012-09-30	\$9.0	*	*	*	*	*
HUDCCOPC23040	Fixed Price	Y	2010-02-12	2010-02-12	2011-02-11	\$0.9	*	*	*	*	*
HUDCCOPC23487	8(a) Stars	Y	2009-11-17	2009-11-17	2012-11-16	\$8.2	*	*	*	*	*
HUDCCOPC23294	8(a) Direct	Y	2009-12-31	2009-12-31	2010-06-02	\$2.4	*	*	*	*	*
HUDCCOPC23568	Firm Fixed Price for Maintenance and Time & Materials for Development	Y	2010-01-22	2010-01-22	2010-04-21	\$0.3	*	*	*	*	*
HUDCCOPC23250	GSA 8(a) Stars - Fixed Price	Y	2009-03-16	2009-03-16	2011-03-14	\$3.0	*	*	*	*	*
HUDCCOPC23152	Fixed Price	Y	2009-02-20	2009-02-20	2012-02-20	\$1.1	*	*	*	*	*
HUDCCOPC23178	8(a) Direct	Y	2009-06-01	2009-06-01	2011-05-31	\$3.2	*	*	*	*	*
HUDCCOPC23307	Time & Materials	Y	2008-06-20	2008-06-20	2010-12-31	\$2.9	*	*	*	*	*
HUDCCOPC23714	8(a) Stars Direct	Y	2010-07-02	2010-07-02	2010-09-30	\$205.8	*	*	*	*	*
HUDCCOPC11486	8(a) Direct	Y	2010-06-01	2010-06-01	2011-05-31	\$738.0	*	*	*	*	*

2. If earned value is not required or will not be a contract requirement for any of the contracts or task orders above, explain why:

*

3. Is there an acquisition plan which reflects the requirements of FAR Subpart 7.1 and has been approved in accordance with agency requirements? *

a.If "yes," what is the date? *

Section D: Performance Information (All Capital Assets)

Table 1: Performance Information Table

Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
2010	Goal E: Embrace High Standards of Ethics, Management and Accountability	*	*	Gross amount of subsidy error attributable to earned income.	The adjusted FY 2006 baseline is \$409.9 million.	Reduce by \$10.8 million.	To be determined by FY 2010 QC Study which will be received in the 4th quarter of FY 2011.
2010	Goal E: Embrace High Standards of Ethics, Management and Accountability	*	*	Percentage of PHAs that receive management assessment indicator scores each year through the CCM system	0% in FY 2006	75% (represents 2,400 PHAs that receive management operations indicator scores.)	TBD
2010	Goal E: Embrace High Standards of Ethics, Management and Accountability	*	*	Percentage of multifamily properties referred to multifamily housing and to the enforcement center	45%	39%	TBD
2010	Goal E: Embrace High Standards of Ethics, Management and Accountability	*	*	Percentage of FO on-site RIM Reviews conducted electronically.	0% in FY 2004	25%	TBD
2010	Goal E: Embrace High Standards of Ethics, Management and Accountability	*	*	total number of authorized users active (This is a proxy measure for user satisfaction.)	32,000 active authorized users of EIV in 2008	39000	TBD
2012	Goal E: Embrace High Standards of Ethics, Management and Accountability	*	*	Number of calls escalated or collaborated.			TBR
2012	Goal E: Embrace High Standards of Ethics, Management and Accountability	*	*	Percentage of PHAs that receive management assessment indicator scores each year through the CCM system			TBR
2012	Goal B: Promote Decent Affordable Housing	*	*	The number of PHA asset management and monitoring reviews that are completed each year in the			TBR

Table 1: Performance Information Table

Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
				Compliance and Monitoring system to assist the Department in assessing the consistency and quality of services to citizens.			
2012	Goal E: Embrace High Standards of Ethics, Management and Accountability	*	*	Gross amount of subsidy error attributable to earned income.			TBR
2012	Goal E: Embrace High Standards of Ethics, Management and Accountability	*	*	Percentage of FO on-site RIM Reviews conducted electronically.			TBR
2012	Goal E: Embrace High Standards of Ethics, Management and Accountability	*	*	total number of authorized users active (This is a proxy measure for user satisfaction.)			TBR
2008	Goal E: Embrace High Standards of Ethics, Management and Accountability	*	*	Increase the total number of HUD Business partners using EIV.	At the end of FY 2007, 7,581 HUD Business partners were using EIV.	Increase the total number of PIH and Housing Business Partners and entities using EIV to 10,000 (a 32% increase over the FY 2007 level).	At the end of FY 2008 9,971 HUD business partners were using EIV.
2008	Goal E: Embrace High Standards of Ethics, Management and Accountability	*	*	Reduce hours needed to process PHAS data, processing costs and mail costs through improved database uses.	Processing costs reduced to by 33%.	Time to process datat should be three (3) hours.	Time to process is approximately 3 hours.
2008	Goal E: Embrace High Standards of Ethics, Management and Accountability	*	*	% of PHAs reporting the number of vouchers processed in VMS.	99% in FY 2004	100%	100%
2008	Goal E: Embrace High Standards of Ethics, Management and	*	*	Reduce QA Analysis processing time.	The average processing time for QA Analysis during FY 2007 was approximately	QA Analysis score should be resolved within 30 days.	The average processing time was reduced to approximately 9 days.

Table 1: Performance Information Table

Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
Accountability		11 days.					
2009	Goal E: Embrace High Standards of Ethics, Management and Accountability	*	*	% of PHAs financial submissions approved without analyst intervention	30%	40%	40%
2009	Goal E: Embrace High Standards of Ethics, Management and Accountability	*	*	% of accepted non-auto-accepted audited and audited financial submissions	45%	50%	50%
2009	Goal E: Embrace High Standards of Ethics, Management and Accountability	*	*	Number of errors detected through analysis for Section 8 financial reviews	95%	96%	96%
2008	Goal E: Embrace High Standards of Ethics, Management and Accountability	*	*	Provide a resident survey score on 100% of public housing agencies	Provide a resident survey score on 100% of public housing agencies	100%	100%
2009	Goal E: Embrace High Standards of Ethics, Management and Accountability	*	*	% of system code using object oriented language	40% of system coded in java	42% of system coded in java	42% of system coded in java
2010	Goal E: Embrace High Standards of Ethics, Management and Accountability	*	*	Response to Business User requests	Repond at a 100% rate to Business User Requests	Repond at a 100% rate to Business User Requests	Reponded at a 100% rate to Business User Requests
2008	Goal E: Embrace High Standards of Ethics, Management and Accountability	*	*	Increase the total number of authorized users active.	The baseline form FY 2007 is a total of 28,000 EIV users.	Increase the total number of authorized users active in EIV to 32,000 (a 25% increase)	34,957 HUD Business partners were using EIV at the end of FY 2008.
2009	Goal E: Embrace High Standards of Ethics, Management and Accountability	*	*	Decrease the number of invalid Social Security Numbers (SSNs) for individuals that might receive housing assistance.	4% of SSNs were invalid	3% of SSNs were invalid	The number of invalid SSNs decreased to 1.5% for all households.
2009	Goal E: Embrace High Standards of	*	*	Reduce the Number of PIC Help Desk	35	25	15

Table 1: Performance Information Table

Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
	Ethics, Management and Accountability			Tickets			
2011	Goal B: Promote Decent Affordable Housing	*	*	15% reduction in escalated/collaboration by FY 2011	8,000 call are escalated for collaboration	Reduce escalated calls by 1,200 through populating additional FAQs in the Knowledge Database.	TBD
2011	Goal E: Embrace High Standards of Ethics, Management and Accountability	*	*	Increase the total number of HUD Business partners using EIV.	The baseline from FY 2008 is a total of 9,971 HUD Business Partners using EIV.	Increase the total number of business partners using EIV to 14,000.	To be taken from user administration data.
2011	Goal B: Promote Decent Affordable Housing	*	*	Percentage of PHAs that receive management assessment indicator scores each year through the CCM system.	0% in FY 2006	81%(represents 2,600 PHAs that receive Management Operations indicator scores).	Results will be reported in December 2011.
2011	Goal B: Promote Decent Affordable Housing	*	*	The number of PHA asset management and monitoring reviews that are completed each year in the Compliance and Monitoring system to assist the Department in assessing the consistency and quality of services to citizens.	0 reviews in FY 2006	185 reviews.	Results will be reported in December 2011.
2011	Goal E: Embrace High Standards of Ethics, Management and Accountability	*	*	Percentage of FO on-site RIM Reviews conducted electronically.	0% in FY 2004.	35%	Results will be reported in December 2011.
2011	Goal E: Embrace High Standards of Ethics, Management and Accountability	*	*	Decrease the percentage of housing units with erroneous addresses.	1.5% of tenant addresses were returned as invalid from the RASS survey mailing.	1% of tenant addresses were returned as invalid from the RASS survey mailing.	TBD
2012	Goal E: Embrace High Standards of Ethics, Management	*	*	Increase the total number of HUD Business partners using EIV.	14,000 business partners using EIV.	17,000 business partners using EIV.	To be taken from user administration data.

Table 1: Performance Information Table							
Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
and Accountability							

Part II: Planning, Acquisition And Performance Information

Section A: Cost and Schedule Performance (All Capital Assets)

1. Comparison of Actual Work Completed and Actual Costs to Current Approved Baseline								
Description of Milestones	Planned Cost (\$M)	Actual Cost (\$M)	Planned Start Date	Actual Start Date	Planned Completion Date	Actual Completion Date	Planned Percent Complete	Actual Percent Complete
Inventory Management System	\$4.1	\$5.3	2008-10-01	2008-10-01	2009-09-30	2009-09-30	100.00%	100.00%
Quality Assessment Sub-System	\$0.3	\$0.3	2008-10-01	2008-10-01	2009-09-30	2009-09-30	100.00%	100.00%
Enterprise Income Verification	\$1.9	\$1.9	2008-10-01	2008-10-01	2009-09-30	2009-09-30	100.00%	100.00%
Customer Assistance Sub-System	\$0.3	\$0.3	2008-10-01	2008-10-01	2009-09-30	2009-09-30	100.00%	100.00%
Development Co-ordination Group	\$2.0	\$3.2	2008-10-01	2008-10-01	2009-09-30	2009-09-30	100.00%	100.00%
Resource Allocation	\$3.7	\$1.7	2008-10-01	2008-10-01	2009-10-09	2009-10-09	100.00%	100.00%
Oversight & Monitoring	\$2.0	\$2.2	2008-10-01	2008-10-01	2009-11-30	2009-11-30	100.00%	100.00%
Physical Assessment Sub-System	\$3.0	\$5.2	2008-10-01	2008-10-01	2009-09-30	2009-09-30	100.00%	100.00%
Financial Assessment Sub-System Public Housing	\$2.5	\$2.7	2008-10-01	2008-10-01	2009-10-01	2009-10-01	100.00%	100.00%
Resident Assessment Sub-System	\$0.5	\$0.0	2008-10-01	2008-10-01	2009-09-30	2009-03-31	100.00%	100.00%
Management Assessment Sub-System	\$0.4	\$0.3	2008-10-01	2008-10-01	2009-09-30	2009-09-30	100.00%	100.00%
Intergrated Assessment Sub-System	\$0.9	\$1.6	2008-10-01	2008-10-01	2009-09-30	2009-09-30	100.00%	100.00%
Financial Assessment Sub-System Federal Housing Association	\$0.7	\$0.3	2008-10-01	2008-10-01	2009-09-30	2009-09-30	100.00%	100.00%
RHA	\$139.3	\$57.0	2008-10-01	2008-10-01	2012-09-30		51.00%	51.00%

* - Indicates data is redacted.